Antimicrobial Stewardship in Post-Acute and Long-Term Care Facilities: Strategies and Resources for Implementation

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Speaker Disclosures

- Dheeraj Mahajan, MD: No relevant financial relationship to disclose
- Muhammad S. Ashraf, MBBS: No relevant financial relationship to disclose
- Elizabeth Frentzel, MPH: No relevant financial relationship to disclose
- Nimalie Stone, MD: No relevant financial relationship to disclose

Learning Objectives

- Recognize practical approaches towards developing a formal antimicrobial stewardship program in post-acute and long-term-care setting using CDC core elements
- Identify different stewardship strategies and tools relevant to the Core Elements
- Learn about the effectiveness and limitations of various post acute and long-term care facilities antimicrobial stewardship programs.

USING CDC CORE ELEMENT FOR ANTIMICROBIAL STEWARDSHIP PROGRAM DEVELOPMENT

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President and CEO

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DEFINITION

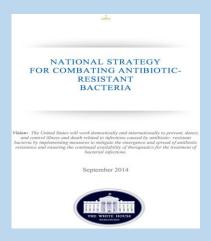
Antibiotic stewardship refers to a set of commitments and activities designed to "optimize the treatment of infections while reducing the adverse events associated with antibiotic use."

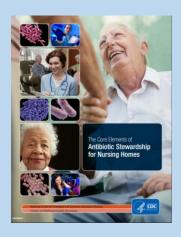
Background

- 70% of NH residents receive one or more courses of antibiotics in a year
- 40%-75% of antibiotics prescribed in NH may be unnecessary or inappropriate
- Cost of antibiotic use in NHs is \$ 38 to 137 million per year
- Residents with higher antibiotic use are at 24 % higher risk of antibiotic related harm
- 20 % of providers prescribe 80 % of antibiotics
- 40-75% of antibiotics in NH are prescribed incorrectly
- 50 % of antibiotics in NH are prescribed for longer duration than necessary

Calls for Action

- White House call <u>for</u> <u>combating antibiotic</u> <u>resistant bacteria</u> (2014)
- CDC's <u>Core Elements of</u>
 <u>Antibiotic Stewardship for</u>
 <u>Nursing Homes</u> (2015)
- CMS regulations on LTC antimicrobial stewardship (2016)
- Joint Commission's 2017 standard on antimicrobial stewardship





DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Medicare & Medicaid Services

42 CFR Parts 405, 431, 447, 482, 483, 485, 488, and 489

[CMS-3260-F]

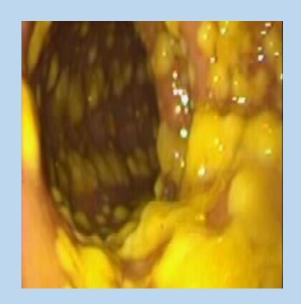
RIN 0938-AR61

Medicare and Medicaid Programs; Reform of Requirements for Long-Term Care Facilities

AGENCY: Centers for Medicare & Medicaid Services (CMS), HHS.
ACTION: Final rule

Side by Side





Core Elements of Antibiotic Stewardship for Nursing Homes



Leadership commitment

Demonstrate support and commitment to safe and appropriate antibiotic use in your facility



Accountability

Identify physician, nursing and pharmacy leads responsible for promoting and overseeing antibiotic stewardship activities in your facility



Drug expertise

Establish access to consultant pharmacists or other individuals with experience or training in antibiotic stewardship for your facility



Action

Implement at least one policy or practice to improve antibiotic use



Tracking

Monitor at least one process measure of antibiotic use and at least one outcome from antibiotic use in your facility



Reporting

Provide regular feedback on antibiotic use and resistance to prescribing clinicians, nursing staff and other relevant staff



Education

Provide resources to clinicians, nursing staff, residents and families about antibiotic resistance and opportunities for improving antibiotic use

Leadership Commitment

- Written statements of Leadership support
- **Define Duties** of leaders and champions
- Notify and communicate
- Create and promote a culture

Accountability

- The medical director
- The director of nursing
- The pharmacist



- The Infection prevention program coordinator
- The laboratory
- State and local health departments

Drug Expertise

- Work with consultant pharmacists with additional training
- Network with area hospital with similar AMS philosophy and engage with Infection prevention personnel
- Develop relationships with infectious disease consultants

Policy and Practice Change

- Policies that support optimal antibiotic use
- Broad interventions
 - Algorithms for resident assessments
 - Communication tools
 - Antibiograms
 - Antibiotic-time outs
 - Program to prescribe antibiotic for shortest duration needed to treat infection
- Pharmacy interventions (monitoring for adverse reactions and review of labs, cultures etc.)
- Infection and syndrome specific interventions (reduce antibiotic use for asymptomatic bacteriuria and antibiotic prophylaxis for UTI; optimize management of pneumonia and the use of chronic wound cultures)

Tracking and Reporting

- Tracking how and why antibiotics are prescribed (process measure)
- Tracking how often and how many antibiotics are prescribed (antibiotic use measure)
- Tracking the adverse outcomes and costs from antibiotics (outcome measure)

Education

• WHO

Physicians, NPPs, Nursing, residents and families

• HOW

Flyers, Newsletters, Emails/listserves and In-person sessions

....FEEDBACK goes a long way

CONCLUSION

- Antimicrobial stewardship core elements are similar for hospitals and nursing homes
- Start with 1 or 2 activities/interventions and build on success
- Celebrate your achievements and recognize the staff

ANTIMICROBIAL STEWARDSHIP IN POST-ACUTE AND LONG-TERM CARE SETTINGS:

Evidence-Based Interventions

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University of Nebraska Medical Center

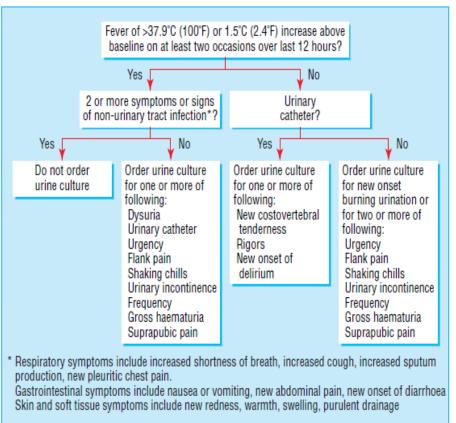


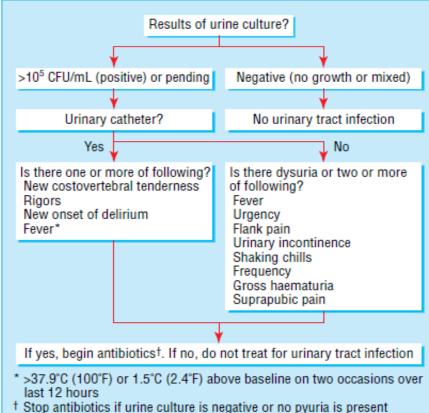
Evidence Based Interventions To Improve Antibiotic Use

- Pre-prescription Interventions:
 - Use of diagnostic and treatment algorithms
 - Use of communication/decision aid tools
 - Education of nursing staff and providers about guidelines
 - Use of nursing home Antibiograms
- Post-prescription interventions:
 - Post–prescribing review of antibiotics (antibiotic time out)
 - Prospective audit and feedback
- Interventions targeting pre and post-prescription periods:
 - LTCF ID consultation service



Utilizing Algorithms to Decrease Inappropriate Urine Cultures and Treatment of Asymptomatic Bacteriuria







Utilizing Algorithms (Intervention Impact)

Clinical algorithms targeted to physicians and nurses caused 31% decline in antibiotic use for UTI

Antibiotic use for other indications, along with total antibiotic use, did not change

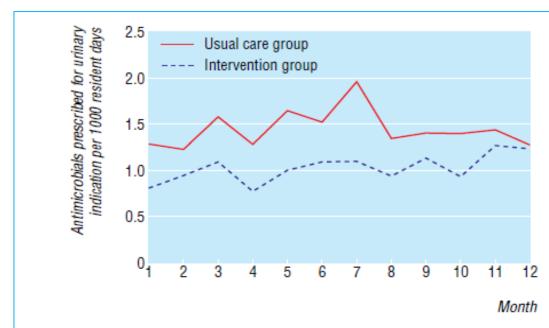


Fig 4 Monthly rates of antimicrobial prescriptions for urinary indications in intervention and usual care nursing homes



Utilizing Algorithms (With Recurrent Educational Sessions)

	3-Month Pre-Intervention	Initial 6 Months Post-Intervention	7 to 30 Months Post-Intervention
Urine cultures/ 1000 patient days	3.7	1.5	1.3
ASB treated	67.6%	69.2%	44%
Antibiotic days/ 1000 patient days	167.7	117.4	109.0

- Inappropriate urine cultures and total antibiotic days went down after setting up criteria for sending urine cultures and for the diagnosis of UTI.
- Required semi-annual follow-up educational sessions and individualized direct feedback in certain instances.



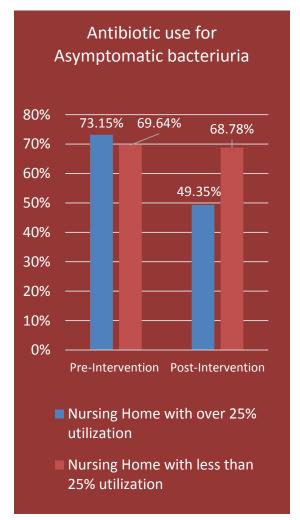
Use of Communication/Decision Aid Tool

for an antibiotic, but may need additional

observation.

<u>A – Assessment</u> (check boxes and determine recommendation prior to call)

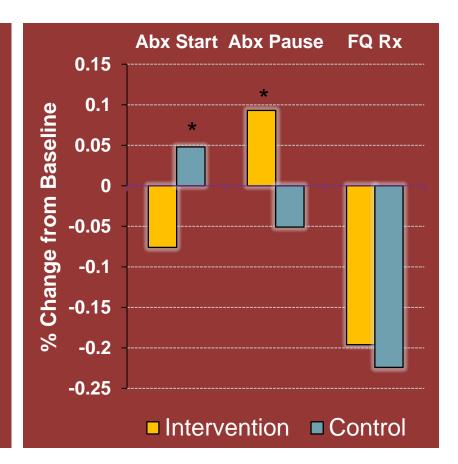
Resident without indwelling catheter: Resident with indwelling catheter: □ Acute dysuria alone; ☐ fever of 100°F (38°C)or 2°F (1°C) greater than baseline OR □ new costovertebral tenderness ☐ Single temperature of 100°F (38°C), multiple at 99°F (37°C) or above, or 2°F (1°C) □ rigors degrees greater than baseline AND at least one new or worsening of the following: □ new delirium □ suprapubic pain □ urgency □ hypotension □ frequency □ gross hematuria costovertebral angle tenderness Any one of the above present □ new/worsening urinary incontinence Yes No Yes No R - Recommendation □ Protocol criteria ARE met. □ Protocol criteria are NOT met. Staff: According to our understanding of best According to our understanding of best Please Check Box practices and our facility protocols the practices and our facility protocols, the for Course of resident may have a urinary tract information is insufficient to indicate an Action infection and need a prescription for an active urinary tract infection. The resident Recommended does NOT need an immediate prescription antibiotic agent.





Education of Nursing Staff and Providers About Guidelines

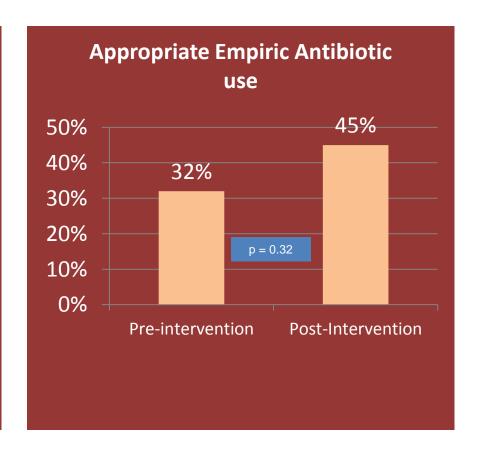
- o Cluster RCT in 58 NHs in Sweden
- Prescribing guideline disseminated through interactive case-based sessions w/ nurses & providers
- Total antibiotic prescriptions decreased and wait and see approach by physicians increased





Use of Nursing Home Antibiogram

- Up to 85% of treatment started empirically
- Where cultures available
 - o only 32% of empiric antibiotic appropriate
- Antibiogram was distributed to Nursing Staff, Administrators and Physicians in a meeting.
- 6 months later there was a modest increase in appropriateness; however, the difference was not statistically significant





he	North	West	London	Hospitals	NH.
				NHS Trust	

RAMP* Form Part B: Review of treatment

*Resident Antimicrobial Management Plan (part of StAUNCH Project)

Part B: Start to fill in 48-72 hours after commencing treatment All sections should be completed by end of treatment period

Г	Plan no.	Resident Name Room no.										
G	ood Practice Points	Nurse Records										
	Review clinical progress after 48-72 hours of treatment	Review of progress: due 48-72h after commencing treatment (S	ee se	ction A6)	Sign & Date							
		The resident: [tick all applicable] now has no signs or symptoms of infection was in hospital has improved remains the same has new signs / symptoms [state details]										
	B Stop date of treatment confirmed or review date Total number of days treatment prescribed:											
	or review date planned		/									
B 3		Resident re-examined by a doctor for the <u>same</u> condition? YES / NO If YES was this:			Sign & Date							
	doctor	☐ Scheduled GP visit ☐ Extra GP visit ☐ Out of Hours Se☐ Hospital A&E visit ☐ Hospital Admission ☐ Hospital Out- Time: [24 hr clock] Date: / /										
B 4	Results of samples / swabs recorded	Sample results: [tick all applicable] See section A4 overleaf			Sign & Date							
		☐ No new samples or swabs sent before this treatment started☐ Results not available yet										
		☐ Negative result (no growth)										
		Positive result (micro-organisms grown)										
		[state details if known]										
		Is this micro-organism sensitive to the antimicrobial pres										
		[tick one option] ☐ Yes ☐ No ☐ Don't know ☐ Not tested	by la	boratory								
B 5		Treatment outcome (at end of course)			Sign & Date							
	treatment	Symptoms completely resolved										
	documented	□ Symptoms partly resolved □ No improvement			l							
2		Additional antimicrobial treatment prescribed? YES / NO If YES: Commence n	ew RA	AMP form								
	For Study Use Only	Ref. No. B1 B2 B3 B4	Ref. No. B1 B2 B3 B4 B5									

Post – Prescribing Review of Antibiotics

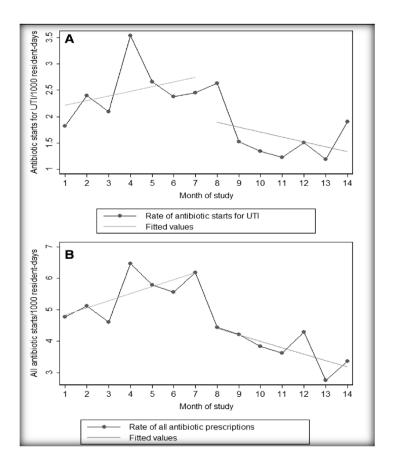
- Cluster RCT in 30 NHs in United Kingdom
- Introduced a form with Part A to be filled out at the start of antibiotic and Part B after 48 hour of treatment
- No additional intervention
- Part A was filled 86% of time and Part B 57% of time
- Antibiotic starts unchanged
- Antibiotic utilization decreased by 10%

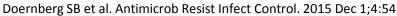
Fleet E et al. J Antimicrob Chemother 2014: 69: 2265-73



Prospective Audit and Feedback Targeting UTI

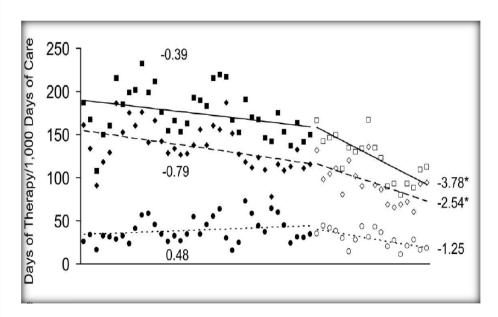
- Immediate 26% decrease in antibiotic prescription for UTI with 6% reduction continuing through the intervention period
- Immediate 25% decrease in all antibiotic prescription with 5% reduction continuing throughout the intervention period
- o 25% recommendations were accepted

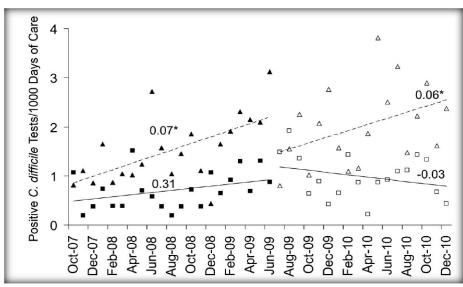






LTCF ID Consultation Service





- 30% decrease in total antibiotic use
- 64% decline in tetracyclines use
- o 61% decline in clindamycin use
- 38% decline in fluroquinolones & sulfamethoxazole/trimethoprim
- 28% decline in beta lactam/ beta lactamase inhibitor use
- Rate of positive *C. difficile* tests at LTCF also declined while rate were the same in the hospital



In Summary

- There are pros and cons for each of the interventions that have been studied.
- Facilities will have to decide which approach works best for them.
- Free resources and tools are available to help facilities implement various components of core elements.



Stewardship: Resources and Tools

Elizabeth Frentzel, MPH

Principal Research Scientist



Current Strategies and Tools

- AHRQ: Nursing Home Antimicrobial Stewardship Guide
- CDC: <u>Core Elements of Antibiotic Stewardship for Nursing Homes</u>
- Robin Jump: <u>Improving the Care of Long-term Care Facility Residents with Infections</u>
- Minnesota: <u>Antimicrobial Stewardship Program Toolkit for Long-term Care Facilities</u>
- UNC: <u>Promoting Wise Antibiotic Use in Nursing Homes</u>

Overview of the AHRQ Guide

- Toolkits to Implement, Monitor, and Sustain
 - Start an Antimicrobial Stewardship Program Toolkit
 - Monitor and Sustain Stewardship Toolkit
- Toolkits to Determine Whether It Is Necessary to Treat a Potential Infection With Antibiotics
 - Suspected UTI SBAR Toolkit
 - Common Suspected Infections: Communicating and Decision Making for Four Infections Toolkit
 - Minimum Criteria for Common Infections Toolkit
- Toolkits to Help Prescribing Clinicians Choose the Right Antibiotic for Treating an Infection
 - Working with a Laboratory to obtain an antibiogram
 - Concise Antibiogram Toolkit
 - Comprehensive Antibiogram Toolkit
- Toolkit to Educate and Engage Residents/Family Members

Overview of the CDC Core Elements

- Principals of nursing home antibiotic stewardship
- Checklist prior to initiating a stewardship program
- Policy and practice descriptions to improve antibiotic use
- Measures of antibiotic prescribing, use and outcomes

Process measures for tracking antibiotic stewardship activities

Completeness of clinical assessment documentation at the time of the antibiotic prescription. Incomplete assessment and documentation of a resident's clinical status, physical exam or laboratory findings at the time a resident is evaluated for infection can lead to uncertainty about the rationale and/or appropriateness of an antibiotic. If a facility has developed algorithms or protocols for evaluating a resident suspected of having an infection, then perform audits of the quality of the assessment to ensure that algorithm was followed.



Improving the Care of Long-Term Care Facility Residents with Infections (Jump)

- Signs and symptoms of infection in older adults
- Urinary tract infections vs. Asymptomatic bacteriuria
- Upper respiratory tract infections, bronchitis and pneumonia
- Isolation precautions
- Collecting samples for microbiological culture
- Communication with providers

MN ASP Toolkit for Long-term Care Facilities

The core tools include:

- Action steps and strategies for implementing an ASP and an accompanying audit tool
- Nursing staff and provider antibiotic use attitudes and beliefs surveys
- An antimicrobial use assessment tool
- A nursing process evaluation tool

Supplemental tools include:

- Communication tools
- Infection surveillance tips
- C. difficile infection prevention and management algorithms
- Antibiotic initiation criteria

Additional resources include:

- Educational modules
- Fact sheets
- Helpful references

Types of Strategies and Tools

- Developing a team and starting a program
- Identifying an infection
- Treating the infection appropriately
- Patient and family education & engagement
- Training/CEUs
- Monitoring

Starting a program (CDC)

LE	ADERSHIP SUPPORT		BLISHED ACILITY	
١.	Can your facility demonstrate leadership support for antibiotic stewardship through one or more of the following actions?	☐ Yes	☐ No	_
	If yes, indicate which of the following are in place (select all that apply) Written statement of leadership support to improve antibiotic use Antibiotic stewardship duties included in medical director position description Antibiotic stewardship duties included in director of nursing position description Leadership monitors whether antibiotic stewardship policies are followed Antibiotic use and resistance data is reviewed in quality assurance meetings			
_	COUNTABILITY			
2.	Has your facility identified a lead(s) for antibiotic stewardship activities? If yes, indicate who is accountable for stewardship activities (select all that apply) Medical director Director or assistant director of nursing services Consultant pharmacist Other:	☐ Yes		Checklist for Core Eler of Antibiotic Stewardsl Nursing Homes

CDC. (2015). Checklist for Core Elements of Antibiotic Stewardship in Nursing Homes. https://www.cdc.gov/longtermcare/pdfs/core-elements-antibiotic-stewardship.pdf

Identifying an Infection (AHRQ)

Resident WITH indwelling catheter The criteria are met to initiate antibiotics if one of the below			Resident WITHOUT indwelling catheter Criteria are met if one of the three situations are met									
	are selected			Yes	1.	Acute dysuria alone	9					
No	Yes	Fever of 100°F (38°C) or repeated temperatures of 99°F (37°C)*	_	-	OR 2.	Single temperature	of 10	00°F (38°C) rsening of the following:				
		New back or flank pain				urgency		suprapubic pain				
		Acute pain				frequency		gross hematuria				
		Rigors /shaking chills				back or flank pain		urinary incontinence				
		New dramatic change in mental status	_	_	OR		more	of the following symptoms				
		Hypotension (significant change from baseline BP or a systolic BP <90)		-	0	urgency frequency incontinence		suprapubic pain gross hematuria				
Nui	ses	: Please check box to indicate	e wheth			incontinence						
1	Nurs	sing home protocol criteria a	re met.	Res	ide	ent may require UA	with C	C&S or an antibiotic.+				

		C	UTLODA
		Sus	pected UTI SBA
Complete	this forn	before contacting the resident's physician.	
			Date/Time
Nursing H	ome Nar	ne	
Resident	Name _		Date of Birth
Physician	/NP/PA		_ Phone
			Fax
Numan			
Submitted	iby 🗆 F	hone □ Fax □ In Person □ Other	
S Situ	uation		
I am conta	acting yo	about a suspected UTI for the above resider	t.
Vital Sign:	s BP	/ HR I	Resp. rate Temp
B Bac	kgroun	d	
	_	r other symptoms (especially, bladder, kidney/	genitourinary conditions)
Specify _			
□ No	□ Yes	The resident has an indwelling catheter	
□ No	□ Yes	Patient is on dialysis	
□ No	☐ Yes	The resident is incontinent If yes, new/wor	sening? No Yes
	☐ Yes	Advance directives for limiting treatment relati	ed to antibiotics and/or hospitalizatio
□ No		Casaif	
□ No		Specify	
	□ Yes	Medication Allergies	
	□ Yes		
□ No		Medication Allergies	

AIR, Texas A & M University, TMF Health Quality Institute, & David Mehr, M.D., (201). Suspected UTI SBAR. Toolkit for AHRQ under Contract No. 290-2006-000-191-8. https://www.ahrq.gov/sites/default/files/wysiwyg/nhguide/4 TK1 T1-SBAR UTI Final.pdf

Treating the Infection Appropriately (CDC)

- **Perform antibiotic "time outs."** Review antibiotics 2 to 3 days after antibiotics are initiated to answer:
- Does this resident have a bacterial infection that will respond to antibiotics?
- Resident on the most appropriate antibiotic(s), dose, and route of admin?
- Can the spectrum of the antibiotic be narrowed or the duration of therapy shortened (i.e., de-escalation)?
- Resident benefit from additional infectious disease / antibiotic expertise to ensure optimal treatment of the suspected or confirmed infection?
- Reduce prolonged antibiotic treatment courses for common infections
 - Beyond a week has not been found helpful/ short courses are effective
 - Decrease antibiotic duration among nursing home residents may reduce the complications and adverse events associated with antibiotic exposure.



CDC. (2015). The Core Elements of Antibiotic Stewardship for Nursing Homes. Appendix A. https://www.cdc.gov/longtermcare/pdfs/core-elements-antibiotic-stewardship-appendix-a.pdf

Treating the Infection Appropriately (AHRQ)

		Concise Antibiogram Toolkit																Nursing Home Antimicro	obial Stewardship Guid	de			
						,	Comprehensive Antibiogram Template													7	Overview of the the Nursing Home Ar provides toolkits to have of antibiotics.		
			Amir	oglyco	sides			B-La	actam	s		Cephalosporins					Quinolones						Browse Antimics
	Gram (-)	er of Residents	Amikacin	Gentamicin	Tobramycin	Ampicillin	Amoxacillin- Clavulanate	mpicillin-Sulbactam	Imipenem	Meropenem (tested by MIC)	Pipercillin-Tazobactam	Cefazolin	Cefepime	Cefoxitin	Ceftazidime	Ceftriaxone	Ciprofloxacin	Gatifloxacin	Levofloxacin	Moxifloxacin	No.		Implement, Mor Iwo tookits help nu antimicrobial steware
		Number	4	99	To	٩	Am	Ampicil	드	Merop	Pipercill	Ů	٥	0	ð	S	ភ្ន	g	le	Mo	6		
	Acinetobacter baumanni																					6	· M
	Citrobacter freundii																				Determine Whether To Treat >	Choose the Right Antibiotic >	Engage
	Citrobacter koseri																				Determine Whether To Treat	Choose the Right Antibiotic	Engage
	Citrobacter sp																				Three tookits provide guidance on the decision to treat a potential infection.	Three tooksts describe how to work with a lab and use an antibiogram.	This tooli members
	Enterobacter aerogenes																						
	Enterobacter cloacae																						
	Enterobacter sp																						
- 1																							

Denver Health, University of Maryland School of Medicine (2012). Concise Antibiogram Toolkit. Toolkit for AHRQ under Contract No. 290-2006-00-20, Task Order No. 9. https://www.ahrq.gov/sites/default/files/wysiwyg/nhguide/5 TK2 T5-Concise Antibiogram Toolkit Comprehensive Antibiogram Template.pdf

Escherichia coli

Klebsiella oxytoca

Patient and family Education & Engagement (UNC-Residents and families)

Don't Take Antibiotics for Granted

It's easy to see why antibiotics are helpful, and now you know why sometimes you or your family member may not need them. We can all help by taking antibiotics only when they're really needed.



Overusing Antibiotics Can Cause Problems

How can antibiotics hurt you or someone you care about?

Antibiotics can, in some cases:



Cause nausea and vomiting



Cause diarrhea, including the kind due to *C. difficile*, an infection that can lead to severe symptoms



Cause a rash or other allergic reactions



Harm your kidneys or other organs



Create bacteria that are resistant to antibiotics

University of North Carolina. 2016. Patient antibiotic use brochure. https://nursinghomeinfections.unc.edu/files/2016/03/Infection-Project-brochure.pdf

Training/CEUs

- Almost all websites provide training
 - Powerpoint presentations
 - Audiofiles
 - Self-paced
- CEUs and self-paced
 - Robin Jump
 - UNC

Monitoring

- Minnesota: Antimicrobial Use Assessment for Long-term Care Facilities
- AHRQ: Antibiotic Use Tracking Sheet, Sample Monthly Summary Reports, Quarterly or Monthly Prescribing Profile

Nursing Home Antimicrobial Stewardship Guide

Toolkit 2. Monitor and Sustain Stewardship



	nset Date	Urinary Tract Infection	Respiratory	Skin/Soft Tissue	Gastrointestinal	Other Infection (Specify)	Signs & Symptoms	Indicate Diagnostic Tool Used and Whether Criteria Were Met	HAI/CAI/NHAI/Other Nosocomial*	Lab Results (organism identified)	Хгау	Other Contributing Factors	Prescribing Clinician (PC)	Prescription Date	Prescription Duration	Antibiotic Name	Dose	Change of Antibiotic (if needed)	Followup With PC	Followup With Resident/Family	Comments/Notes	
+																						

AIR, Texas A & M U, U Wisconsin, TMF Health Quality Institute, Trivedi Consults, LLC, U Pittsburgh, and David Mehr, M.D., Monitor and Sustain Stewardship. Toolkit for AHRQ under contract number HHSA290201000018I #2. https://www.ahrq.gov/sites/default/files/wysiwyg/nhguide/3_TK2_T2-Antibiotic_Use_Tracking_Sheet_Final.pdf

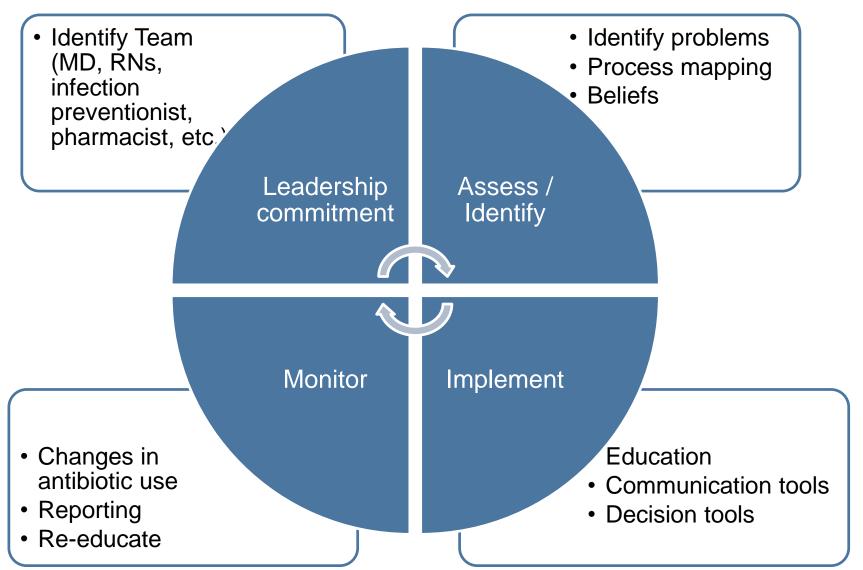
Strengths

- Multiple tools and guidance for facilities
- Based on latest information
- Much of it turn-key solutions
- Multiple training materials that support antibiotic stewardship

Weaknesses

- The wealth of tools can be daunting
- Nursing homes may find it difficult to figure out a place to start
- Nursing homes typically are resource-scarce and implementation can be difficult
- If UTIs are the focus, often significant resistance

Keys to Effective Antibiotic Stewardship



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