

Illinois Department of Public Health

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  IL6001929	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____  B. WING _____	(X3) DATE SURVEY COMPLETED  C 11/28/2018
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NAME OF PROVIDER OR SUPPLIER  SAUK VALLEY SENIOR LIVING	STREET ADDRESS, CITY, STATE, ZIP CODE 1000 DIXON AVENUE ROCK FALLS, IL 61071
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S 000	Initial Comments  Complaint Investigation  18171612/IL107502	S 000		
S9999	Final Observations  Statement of Licensure Violations  300.610a) 300.1210b) 300.1210d)2) 300.1220b)8) 300.3240a)  Section 300.610 Resident Care Policies  a) The facility shall have written policies and procedures governing all services provided by the facility. The written policies and procedures shall be formulated by a Resident Care Policy Committee consisting of at least the administrator, the advisory physician or the medical advisory committee, and representatives of nursing and other services in the facility. The policies shall comply with the Act and this Part. The written policies shall be followed in operating the facility and shall be reviewed at least annually by this committee, documented by written, signed and dated minutes of the meeting.  Section 300.1210 General Requirements for Nursing and Personal Care  b) The facility shall provide the necessary care and services to attain or maintain the highest practicable physical, mental, and psychological well-being of the resident, in accordance with each resident's comprehensive resident care	S9999	<h2>Attachment A</h2> <h3>Statement of Licensure Violations</h3>	

Illinois Department of Public Health  
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

12/19/18

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S9999	<p>Continued From page 1</p> <p>plan. Adequate and properly supervised nursing care and personal care shall be provided to each resident to meet the total nursing and personal care needs of the resident.</p> <p>d) Pursuant to subsection (a), general nursing care shall include, at a minimum, the following and shall be practiced on a 24-hour, seven-day-a-week basis:</p> <p>2) All treatments and procedures shall be administered as ordered by the physician.</p> <p>Section 300.1220 Supervision of Nursing Services</p> <p>b) The DON shall supervise and oversee the nursing services of the facility, including:</p> <p>8) Supervising and overseeing in-service education, embracing orientation, skill training, and on-going education for all personnel and covering all aspects of resident care and programming. The educational program shall include training and practice in activities and restorative/rehabilitative nursing techniques through out-of-facility or in-facility training programs. This person may conduct these programs personally or see that they are carried out.</p> <p>Section 300.3240 Abuse and Neglect</p> <p>a) An owner, licensee, administrator, employee or agent of a facility shall not abuse or neglect a resident. (Section 2-107 of the Act)</p> <p>These Requirements are not met as evidenced by:</p> <p>Based on interview and record review, the facility</p>	S9999		
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S9999	<p>Continued From page 2</p> <p>failed to ensure a resident with a tracheostomy tube having difficulty breathing had a patent airway. This failure resulted in R1 experiencing prolonged respiratory distress.</p> <p>This applies to 1 of 3 residents (R1) reviewed for nursing care and services in the sample of 8.</p> <p>The findings include:</p> <p>R1 is a 31 year old resident admitted to the facility after a severe anoxic (without oxygen) brain injury after cardiac arrest following choking on food. R1 required a gastrostomy, colostomy and tracheostomy after the choking episode and was admitted to the facility with these present. R1's MDS (minimum data set) dated August 28, 2018 shows R1 is non-verbal and cannot make herself understood. R1's cognitive skills for daily decision making are severely impaired and R1 is dependent on staff for bed mobility, transfers, dressing, eating, toilet use, hygiene and bathing. R1's care plan dated January 26, 2017 shows she is dependent on staff for repositioning and all ADL (activity of daily living) cares. R1 is unable to express herself and unable to assist with ADL's.</p> <p>On November 27, 2018, at 11:36 AM, V4 CNA (Certified Nursing Assistant) said on November 24, 2018 around 7PM she was in the hallway outside R1's door and could hear R1 struggling to breathe from the hall. V4 said, "V2 RN (Registered Nurse) was there also and didn't hear a thing. I called for V2 to come into the room. V2 panicked when she saw R1. She put oxygen on her and listened to R1's lungs. Then V2 asked me to listen to R1's lungs. V2 did not check R1's tracheostomy or suction it."</p> <p>On November 27, 2018 at 2 PM, V2 said when</p>	S9999		
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S9999	<p>Continued From page 3</p> <p>she entered R1's room on November 24, 2018, R1's chest was not rising and falling. V2 said, "R1 was sweaty and in distress trying to breathe. She breathes through her trach (tracheostomy/airway). I did not suction the trach. I did not remove the tube to make sure it was clear. The first thing I did was put oxygen on her. I listened to her lungs and there was very little lung sounds. I had V4 listen to her lungs too. I left the room to call the doctor to update him and get permission to send her to the ER (emergency room). I have very little experience with trachs. I told the paramedic she was in respiratory distress and it could have been up to an hour she'd been having trouble breathing. All the staff were in the dining room and nobody had seen her in about an hour. If a resident's airway is occluded they could go into a coma, have a lack of oxygen to the brain or become a total vegetable. If I had just checked to see if that cannula was blocked...if I got it cleaned out within 5-10 minutes she would have gotten better and not had such distress."</p> <p>On November 27, 2018 at 4:20 PM, V9 (ambulance crew care giver) said when he arrived at R1's bedside on November 24, 2018, "R1 was gasping to get air. She was in respiratory distress. Her breathing was labored and she was hyperventilating. I asked the nurse how long she had been breathing like this and she said maybe an hour. She said she only had two CNA's working with her. There was a regular oxygen mask on her not a trach mask and it flipped right off when we moved her."</p> <p>On November 26, 2018 at 4:20 PM, V6 (local emergency room RN) said when R1 was brought to the ER on November 24, 2018, her trach was completely clogged with blood tinged sputum and hair. The trach was very clogged and dirty. As</p>	S9999		

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S9999	<p>Continued From page 4</p> <p>soon as V6 changed it R1 was breathing completely normal.</p> <p>On November 28, 2018 at 8:55 AM, V8 (local emergency room RN) said on November 24, 2018, "R1's trach tube was filthy and gunky. If she was getting suctioned properly her tube wouldn't have been so clogged and dirty. After the tube was removed, she was breathing better."</p> <p>On November 28, 2018 at 9:00 AM, V7 (local hospital respiratory therapist) said on November 24, 2018, "R1 was breathing very rapidly, working hard and in a lot of distress. I tried suctioning her trach and met some resistance when attempting to pass the suction tubing. I took R1's trach cannula out and it was full of thick dried mucous, basically plugged. You could tell it had not been cleaned or changed for a while. I think doing better trach care would have prevented this ER visit."</p> <p>On November 27, 2018 at 3:20 PM, V1 DON (Director of Nursing) said she expects the nurses to properly assess a resident's airway and attempt interventions prior to calling 911 if a resident is have respiratory distress. If a resident is not moving air and not breathing they should call 911 without delay and send them out.</p> <p>R1's communication form dated November 24, 2018 and authored by V2 shows R1 had loud respirations, the abdomen was rising and falling instead of the chest, there were no breath sounds in the lungs, skin was very moist, and R1 seemed to be working hard at breathing. R1's ambulance care report dated November 24, 2018 shows R1 was having extreme difficulty with her breathing, hyperventilating and usage of upper accessory muscles for breathing. When asked how long the</p>	S9999		

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S9999	<p>Continued From page 5</p> <p>patient may have been in this condition, the nurse stated she thought maybe for the last hour. R1's emergency room physician notes dated November 24, 2018 shows the patient is nonverbal at baseline and has a tracheostomy with inner cannula noted to be completely obstructed. R1's tracheostomy was congested. On arrival, the patient's trach site was suctioned and inner cannula was changed with significant improvement in her symptoms and she was no longer in any respiratory distress. R1's ER discharge diagnosis was inner cannula obstruction. R1 was discharged back to the facility. V8's ER triage note shows R1 was short of breath for an hour at the facility and this was concerning for neglect. R1's November 2018 Treatment Administration Record (TAR) shows a current orders to provide trach care daily and as needed (prn), suction the trach as needed, and to change the trach inner cannula daily and as needed (prn). The facility's Tracheostomy Care Policy dated January 16, 2012 shows residents who have a tracheostomy will have trach care done daily, or when needed to keep the airway clean and unobstructed. The facility's Emergency Transfer to Acute Care Setting Policy dated December 22, 2017 shows in the event a resident requires emergency care beyond the scope of that provided by the staff of a long term care facility, that resident shall be transferred to an acute care setting. In extreme emergencies implement "911" for immediate assistance as indicated by the injury or condition.</p> <p>(A)</p>	S9999		
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