

Illinois Department of Public Health

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  <b>IL6006365</b>	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____  B. WING _____	(X3) DATE SURVEY COMPLETED  <b>C</b> <b>01/16/2015</b>
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NAME OF PROVIDER OR SUPPLIER  <b>STOCKTON HEALTHCARE &amp; REHAB</b>	STREET ADDRESS, CITY, STATE, ZIP CODE <b>501 EAST FRONT STREET, PO BOX #38 STOCKTON, IL 61085</b>
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
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S9999	<p>Final Observations</p> <p>Statement of Licensure Violations: 300.650d) 300.661</p> <p>Section 300.650 Personnel Policies</p> <p>d) The facility shall check the status of all applicants with the Health Care Worker Registry prior to hiring.</p> <p>This REQUIREMENT is not met as evidenced by:</p> <p>Based on Interview and Record Review the facility failed to check the status of the Health Care Worker Registry prior to hiring employees.</p> <p>This has the poterntial to affect all 32 residents.</p> <p>The findings include:</p> <p>The 3 Month List of New Hires (no date) showed E6 was hired on 12/23/14 as the Maintenance Supervisor. The Illinois Department of Public Health (IDPH) Health Care Worker Registry (HCWR) was not checked for E6 prior to him being hired as the Maintenance Supervisor. The Health Care Worker Background Check Result dated 12/31/14 for E6 showed, "Please be advised that the fingerprint-based criminal history records check has been completed for the individual listed below. The records revealed a criminal conviction for this individual. Pursuant to the Health Care Worker Background Check Act, the revealed conviction(s) causes the individual to be ineligible to be hired, employed, or retained by a health care employer or long-term care facility, unless IDPH has granted a waiver. Check the</p>	S9999		
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Illinois Department of Public Health  
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

01/28/15

Illinois Department of Public Health

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S9999	<p>Continued From page 1</p> <p>waiver section on the applicant's profile page for the waiver status. Fee App Result: Disqualifying offenses found - waiver may be requested after 4/22/2016."</p> <p>The 3 Month List of New Hires (no date) showed E7 was hired on 11/13/14 and the facility did not check the IDPH Health Care Worker Registry prior to hire. The facility did not do a Health Care Worker Background Check for E7.</p> <p>The New Hire Form (no date) for E8 showed she was hired on 12/9/13. The Health Care Worker Registry Check for E8 was dated 7/17/12.</p> <p>On 1/13/15 at 8:15am, E1 (Administrator) stated, "I called our management company to see if they have background checks or if Z1 checked the portal for the registry checks for me and E2." On 1/13/15 at 8:30am, E5 (Administrative Assistant) stated, "E8 worked as needed for awhile and recently started working again. There isn't a policy for as needed employment in the policy book. E8 had a background check and registry check done in 2012." On 1/13/15 at 8:50am, E5 (Administrative Assistant) stated there was not a background check or registry check for E7. (AW)</p> <p>Section 300.661 Health Care Worker Background Check</p> <p>A facility shall comply with the Health Care Worker Background Check Act [225 ILCS 46] and the Health Care Worker Background Check Code (77 Ill. Adm. Code 955).</p> <p>This REQUIREMENT is not met as evidenced by:</p>	S9999		

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S9999	<p>Continued From page 2</p> <p>Based on Interview and Record Review the facility failed to comply with the Health Care Worker Background Check Act and do background checks within ten days of hire for new employees.</p> <p>This has the potential to affect all 32 residents.</p> <p>The findings include:</p> <p>The 3 Month List of New Hires (no date) showed E7 was hired on 11/13/14 and the facility did not have the Health Care Worker Background Check done for E7.</p> <p>On 1/13/15 at 8:50am, E5 (Administrative Assistant) stated there was not a background check or registry check for E7.</p> <p>The facility's Abuse Prevention Policy (8/2011) showed, "The facility complies with the requirements set forth in the Health Care Worker Background Check Act."</p> <p>(B)</p>	S9999		
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